

You should use this form to convert an existing Nuveen Funds Traditional IRA to a Roth IRA. If you are converting a Traditional IRA from another custodian, you must complete both this *IRA Conversion Form* and the *IRA Asset Transfer Form*.

By signing this form, investor(s) acknowledges that neither Nuveen Funds nor any affiliate or service provider to Nuveen Funds has provided the investor(s) with advice, recommendations or suggestions as to any specific investment decisions. Investors in Nuveen Funds are urged to consult their own professional advisors before making investment-related decisions, including but not limited to those related to transfer or rollover from retirement plans, purchase or sale of investments, selection or retention of investment managers, or selection of account beneficiaries.

Please send your signed and completed form to Nuveen Funds per the Return Completed Forms section below or in the enclosed customer reply envelope. Please contact Nuveen Funds with any questions at 800-257-8787.

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, Social Security Number and other information that will allow us to identify you (including a state-issued driver's license or other government-issued identification). This information will be verified to ensure the identity of all individuals opening a mutual fund account. Until you provide the information we need, we may not be able to open an account or effect any transactions for you.

1. ACCOUNT REGISTRATION (REQUIRED)

Please print or type. Please complete this section if you are converting an existing Nuveen Funds Traditional IRA to a Nuveen Funds Roth IRA, or if you are converting a Traditional IRA held at another institution. Please provide the information exactly as you want it to appear on your account.

Prefix First Name MI Last Name

Name of Entity

If you are establishing an entity account as beneficiary due to the death of the owner in this section and you are an authorized signer, you must complete the Nuveen Funds Legal Entity Beneficial Ownership Certification Form F40214 included in order for the account to be established.

Social Security Number/
 Taxpayer Identification Number Date of Birth (mm/dd/yyyy) / /

Citizenship For foreign accounts, one of the following must be provided: non-U.S. citizen ID or passport number with country of issuance along with photocopy of ID.

☐ U.S. ☐ Resident Non-U.S. Citizen ☐ Nonresident Non-U.S. Citizen (Specify country.)

Non-U.S. Citizen ID or Passport Number

Address Street or P.O. Box (APO and FPO addresses will be accepted.) City State Zip Code

Address (If the above address is a P.O. Box, you must also provide a street address.) City State Zip Code

(Continued)



1. ACCOUNT REGISTRATION (REQUIRED) (CONTINUED)

Primary Phone Number	Phone Number Type	Secondary Phone Number	Phone Number Type
<input type="text"/>	<input type="checkbox"/> Mobile <input type="checkbox"/> Home <input type="checkbox"/> Business	<input type="text"/>	<input type="checkbox"/> Mobile <input type="checkbox"/> Home <input type="checkbox"/> Business
Alternate Phone Number	Phone Number Type	Email Address	
<input type="text"/>	<input type="checkbox"/> Mobile <input type="checkbox"/> Home <input type="checkbox"/> Business	<input type="text"/>	
Nuveen Wealth Management Advisor (Name)		Dealer Number	
<input type="text"/>		<input type="text"/>	

2. ACCOUNT INFORMATION

Please complete this section if you are converting all or part of an existing Nuveen Funds Traditional IRA to a Nuveen Funds Roth IRA.
FUND NAMES ARE LISTED IN SECTION 4.

Fund Name	Existing IRA Fund and Account Number You Wish to Convert	Convert % of Assets	Dollar Amount
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>

3. CONVERSIONS FROM ANOTHER INSTITUTION

(For New or Existing Accounts) Please complete a separate IRA Asset Transfer Form for each Traditional IRA being converted from another institution. Check the box corresponding to the source of the money now being transferred.

☐ Traditional Contributory IRA ☐ Traditional Rollover IRA



4. INVESTMENT ALLOCATION

If you are converting a Traditional IRA from another institution, please indicate below the funds in which you would like to invest. The minimum initial investment in any fund is \$2,000.

☐

Please check here to set this allocation for future investments, unless other instructions are received.

Fund Name (Fund Code)	Existing IRA Account Number You Wish to Convert	Convert % of Assets	Dollar Amount
Nuveen 5-15 Year Laddered Tax Exempt Bond Fund (98)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen All-American Municipal Bond Fund (1167)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Arizona Municipal Bond Fund (5002)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Bond Index Fund (91)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen California High Yield Municipal Bond Fund (1780)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen California Municipal Bond Fund (5003)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Colorado Municipal Bond Fund (5004)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Connecticut Municipal Bond Fund (5005)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Core Bond Fund (66)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Core Equity Fund (64)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Core Impact Bond Fund (45)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Core Plus Bond Fund (96)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Credit Income Fund (6942)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Dividend Growth Fund (5031)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Dividend Value Fund (6759)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Emerging Markets Debt Fund (2794)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Emerging Markets Equity Fund (67)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Emerging Markets Equity Index Fund (69)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Equity Index Fund (65)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Equity Long/Short Fund (5044)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Flexible Income Fund (5052)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Floating Rate Income Fund (5064)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Georgia Municipal Bond Fund (5006)		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>

* Effective 12/13/24 the Nuveen Social Choice Low Carbon Equity Fund merged into Nuveen Large Cap Responsible Equity Fund.

** Effective 2/28/25 the following name change was made: Nuveen Mid Cap Growth Opportunities Fund was renamed Nuveen Small/Mid Cap Growth Opportunities Fund.

† Effective 3/1/25 Nuveen Mid Cap Growth Fund was renamed to Nuveen Quant Mid Cap Growth Fund.

†† Effective 7/1/25 Mid Cap Value 1 Fund was renamed to Nuveen Mid Cap Value Opportunities Fund.

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4. INVESTMENT ALLOCATION (CONTINUED)

Fund Name (Fund Code)	Existing IRA Account Number You Wish to Convert	Convert % of Assets	Dollar Amount
Nuveen Global Dividend Growth Fund (3037)			\$
Nuveen Global Equity Income Fund (1759)			\$
Nuveen Global Infrastructure Fund (6725)			\$
Nuveen Global Real Estate Securities Fund (7270)			\$
Nuveen Green Bond Fund (2608)			\$
Nuveen High Yield Fund (95)			\$
Nuveen High Yield Income Fund (5055)			\$
Nuveen High Yield Municipal Bond Fund (5000)			\$
Nuveen Inflation Linked Bond Fund (90)			\$
Nuveen Intermediate Duration Municipal Bond Fund (1170)			\$
Nuveen International Bond Fund (2957)			\$
Nuveen International Equity Fund (61)			\$
Nuveen International Opportunities Fund (49)			\$
Nuveen International Responsible Equity Fund (2762)			\$
Nuveen International Value Fund (1159)			\$
Nuveen Kansas Municipal Bond Fund (5007)			\$
Nuveen Kentucky Municipal Bond Fund (5008)			\$
Nuveen Large Cap Growth Fund (68)			\$
Nuveen Large Cap Responsible Equity Fund (62)*			\$
Nuveen Large Cap Select Fund (2605)			\$
Nuveen Large Cap Value Fund (85)			\$
Nuveen Large Cap Value Opportunities Fund (5034)			\$
Nuveen Lifecycle Retirement Income Fund (70)			\$
Nuveen Lifestyle Aggressive Growth Fund (94)			\$
Nuveen Lifestyle Conservative Fund (78)			\$
Nuveen Lifestyle Growth Fund (93)			\$

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†† Effective 7/1/25 Mid Cap Value 1 Fund was renamed to Nuveen Mid Cap Value Opportunities Fund.

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4. INVESTMENT ALLOCATION (CONTINUED)

Fund Name (Fund Code)	Existing IRA Account Number You Wish to Convert	Convert % of Assets	Dollar Amount
Nuveen Lifestyle Income Fund (77)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Lifestyle Moderate Fund (79)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Limited Term Municipal Bond Fund (5001)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Louisiana Municipal Bond Fund (5009)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Managed Allocation Fund (99)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Maryland Municipal Bond Fund (5010)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Massachusetts Municipal Bond Fund (5011)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Michigan Municipal Bond Fund (5012)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Mid Cap Value Opportunities Fund (6786)††	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Mid Cap Value Fund (87)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Minnesota Intermediate Municipal Bond Fund (6804)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Minnesota Municipal Bond Fund (6484)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Missouri Municipal Bond Fund (1186)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Money Market Fund (63)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Multi Cap Value Fund (1157)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Nebraska Municipal Bond Fund (5022)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen New Jersey Municipal Bond Fund (5013)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen New Mexico Municipal Bond Fund (5014)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen New York Municipal Bond Fund (5015)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen North Carolina Municipal Bond Fund (5016)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Ohio Municipal Bond Fund (5017)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Oregon Intermediate Municipal Bond Fund (6779)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Pennsylvania Municipal Bond Fund (5018)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Preferred Securities and Income Fund (5040)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Quant International Small Cap Equity Fund (2966)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Quant Mid Cap Growth Fund (86)†	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>

* Effective 12/13/24 the Nuveen Social Choice Low Carbon Equity Fund merged into Nuveen Large Cap Responsible Equity Fund.

** Effective 2/28/25 the following name change was made: Nuveen Mid Cap Growth Opportunities Fund was renamed Nuveen Small/Mid Cap Growth Opportunities Fund.

† Effective 3/1/25 Nuveen Mid Cap Growth Fund was renamed to Nuveen Quant Mid Cap Growth Fund.

†† Effective 7/1/25 Mid Cap Value 1 Fund was renamed to Nuveen Mid Cap Value Opportunities Fund.

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4. INVESTMENT ALLOCATION (CONTINUED)

Fund Name (Fund Code)	Existing IRA Account Number You Wish to Convert	Convert % of Assets	Dollar Amount
Nuveen Quant Small Cap Equity Fund (88)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Quant Small/Mid Cap Equity Fund (2956)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Real Asset Income Fund (6732)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Real Estate Securities Fund (817)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Real Estate Securities Select Fund (89)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Short Duration High Yield Municipal Bond Fund (5026)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Short Duration Impact Bond Fund (2609)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Short Term Bond Fund (97)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Short Term Bond Index Fund (2797)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Short Term Municipal Bond Fund (5024)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Small Cap Growth Opportunities Fund (2454)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Small Cap Select Fund (5061)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Small Cap Value Fund (6740)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Small Cap Value Opportunities Fund (5028)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Small/Mid Cap Growth Opportunities Fund (6449)**	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Small/Mid Cap Value Fund (5037)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Strategic Income Fund (1345)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Strategic Municipal Opportunities Fund (6992)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Virginia Municipal Bond Fund (5019)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Winslow Large-Cap Growth ESG Fund (5049)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Nuveen Wisconsin Municipal Bond Fund (5020)	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>
Total Amount or Percentage		<input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>

* Effective 12/13/24 the Nuveen Social Choice Low Carbon Equity Fund merged into Nuveen Large Cap Responsible Equity Fund.

** Effective 2/28/25 the following name change was made: Nuveen Mid Cap Growth Opportunities Fund was renamed Nuveen Small/Mid Cap Growth Opportunities Fund.

† Effective 3/1/25 Nuveen Mid Cap Growth Fund was renamed to Nuveen Quant Mid Cap Growth Fund.

†† Effective 7/1/25 Mid Cap Value 1 Fund was renamed to Nuveen Mid Cap Value Opportunities Fund.



5. WITHHOLDING REQUIREMENTS

If you're transferring assets from another custodian, you must complete only the withholding section on the IRA Asset Transfer Form.

Important income tax information: We are required to withhold federal income taxes (at a rate of 10%) on the amount you convert unless you elect not to have withholding apply. **These rules do not apply to nonresident, non-U.S. citizens. (See NOTE below.)**

You must make a withholding election. If you do not check a box, taxes will be withheld at a rate of 10% on your conversion. (State withholding also may apply.)

☐ I hereby notify Nuveen that I decline to provide a substitute federal form W-4R Withholding Certificate for Nonperiodic Payments and Eligible Rollover Distributions. I understand that federal withholding will be withheld at the default rate of 10%.

☐ I am providing a substitute federal form W-4R Withholding Certificate for Nonperiodic Payments and Eligible Rollover Distributions. I am making a federal withholding election as indicated on my substitute federal form W-4R Withholding Certificate by entering a rate between 0% and 100% (including 0% and 100%) on line 2.

☐ I am providing a substitute federal form W-4R Withholding Certificate for Nonperiodic Payments and Eligible Rollover Distributions. I am choosing to have no federal income tax withheld by entering "-0-" on line 2.

Generally, you are not permitted to elect to have federal income tax withheld at a rate of less than 10% (including 0%) on payments to be delivered outside of the United States and its territories.

NOTE: If you are a nonresident, non-U.S. citizen, you must check the box below.

☐ Notwithstanding the above, as a nonresident, non-U.S. citizen, I understand that special withholding rules apply to a conversion of my Traditional IRA to a Roth IRA.

If you are a nonresident, non-U.S. citizen, you must certify your foreign tax status by providing IRS Form W-8BEN prior to requesting a distribution. A W-8BEN is maintained on file for a three-year period.

STATE TAX WITHHOLDING ELECTION

State income tax withholding may be required from your distribution. If state withholding is mandatory, we will withhold at the rate required by your state. Please note that the state may allow you to elect not to have withholding applied, to choose additional withholding, or to specify the rate of withholding. Please contact Nuveen Funds with any questions at **800-257-8787**.



6. DESIGNATE YOUR BENEFICIARIES

Please complete this section to designate your beneficiaries. We will transfer ownership of your IRA to your primary beneficiaries upon your death. Your primary beneficiaries will share equally in your IRA unless you specify different percentages below. If a primary beneficiary predeceases you, his or her share of your IRA shall be divided proportionately among the surviving primary beneficiaries. In addition, we will transfer ownership of your IRA to your contingent beneficiaries only if there are no surviving primary beneficiaries at the time of your death. If this happens, your contingent beneficiaries will share equally in your IRA unless you specify different percentages below. If there are no surviving contingent beneficiaries at the time of your death, we will transfer ownership of your IRA to your estate. All percentages must total 100%.

NOTE: If more than one primary and/or contingent beneficiary is designated and no percentages are indicated, equal percentages totaling 100% will be allocated to each beneficiary.

Primary Beneficiary

First Name or Name of Entity	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Relationship	Percentage	Social Security Number/ Taxpayer Identification Number	Date of Birth (mm/dd/yyyy)
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Primary Beneficiary

First Name or Name of Entity	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Relationship	Percentage	Social Security Number/ Taxpayer Identification Number	Date of Birth (mm/dd/yyyy)
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Contingent Beneficiary

First Name or Name of Entity	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Relationship	Percentage	Social Security Number/ Taxpayer Identification Number	Date of Birth (mm/dd/yyyy)
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Contingent Beneficiary

First Name or Name of Entity	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Relationship	Percentage	Social Security Number/ Taxpayer Identification Number	Date of Birth (mm/dd/yyyy)
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Note: If you reside in a community property state, you may need your spouse's consent to your beneficiary designation. You may wish to seek legal advice.



7. TELEPHONE OPTIONS

The services below allow you to invest or exchange by telephone or web among your identically registered Nuveen Funds IRA. These services are automatically included unless you opt out by checking **No** below. You will also have access to your IRA through the Automated Telephone Service (ATS) and the internet. See the Nuveen Funds prospectuses for details.

Telephone Purchase

This option lets you invest by telephone with payments transferred by Automated Clearing House (ACH) from your designated bank account to your existing mutual fund account. (\$100 minimum. **Please complete Section 9.**)

Telephone Exchange

This option permits exchanges between your identically registered Nuveen Funds IRAs. The minimum initial investment in any fund is \$2,000.

If you do not want telephone options check this box ☐ **No**

8. AUTOMATIC INVESTMENT PLAN

If you would like to participate in the Nuveen Funds Automatic Investment Plan, the minimum investment per fund is \$100. Please indicate below the amount to invest, frequency, the first month to begin debiting your account and the time interval of your investments. Semimonthly investments occur on both the 1st and 15th, while monthly investments occur on either the 1st or 15th. All automatic investments are considered current year contributions. It takes up to 10 days to initiate this service. (Please also complete Section 9.)

FUND NAMES AND FUND CODES ARE LISTED IN SECTION 4.

FUND NAME (See Section 4)	FUND CODE	DOLLAR AMOUNT	SEMI	MONTHLY	START MONTH	1 ST MONTH	15 TH MONTH
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

9. BANK INFORMATION

You must complete this section if you requested Telephone Purchase or the Automatic Investment Plan.

Type of Account ☐ Checking ☐ Savings

Name of Primary Bank Account Owner

Name of Joint Bank Account Owner

Bank Name

ABA Routing Number

Bank Phone Number

Bank Account Number

ATTACH A VOIDED BANK CHECK OR PREPRINTED SAVINGS DEPOSIT SLIP.

This will ensure accurate bank information.



10. SIGNATURES

By signing this form, I certify that:

I have received, read, and agree to the terms of the prospectus for each fund in which I am investing. I have the authority and legal capacity to establish this custodial (Conversion and/or Contribution) Roth IRA, and to direct the purchase of mutual fund shares, am of legal age in my state, and believe each investment is a suitable one for me.

I received, read, and agree to both the Nuveen Funds Individual Retirement Accounts Disclosure Statement and UMB Bank, n.a. Universal Individual Retirement Account Custodial Agreement.

I understand I may revoke this Agreement by notifying the Custodian in writing within seven days if I did not receive the Disclosure Statement at least seven days prior to today's date.

I authorize Nuveen Funds, UMB Bank, n.a. or their designees to act on any instructions believed to be genuine for any service authorized in the Custodial Agreement and on this form. The Nuveen Funds use reasonable procedures (including Shareholder identity verification) to confirm that instructions given by telephone/computer are genuine and are not liable for acting on these instructions. (However, if these procedures are not followed, it is the opinion of certain regulatory agencies that the Nuveen Funds may be liable for any losses that may result from acting on instructions given.) All services are subject to conditions set forth in the Nuveen Funds prospectus. I elect under paragraph 9(a) of the Custodial Agreement not to have life expectancy recalculated for minimum distribution purposes unless I notify the Custodian otherwise prior to my required beginning date.

To request assistance with accessing a prospectus, privacy policy, or business continuity policy please contact us at **800-257-8787**.

If I am a nonresident, noncitizen of the United States, I acknowledge that the below tax certifications do not apply to me. I have provided Nuveen with an IRS Form W-8BEN within the last three years or will submit a W-8BEN prior to requesting a distribution from this account. I acknowledge that I must have a W-8BEN on file to request a distribution.

Substitute W-9 Request for Taxpayer Identification Number and Certification

Under penalties of perjury, I certify that: (1) The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and (3) I am a U.S. citizen or other U.S. person (as defined in the form W-9 instructions); and (4) the FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Please Sign Here

Owner's Signature

Today's Date (mm/dd/yyyy)

 / / 2 0

CUSTODIAN ACCEPTANCE

UMB Bank, n.a. will accept appointment as Custodian of the Depositor's IRA. Receipt by the Depositor of a confirmation of the purchase of the fund shares indicated above will serve as notification of UMB Bank, n.a.'s acceptance of appointment as Custodian of the Depositor's Account.

UMB BANK, N.A., CUSTODIAN

Owner's Signature

Today's Date (mm/dd/yyyy)

 / / 2 0


RETURN COMPLETED FORM(S) TO:

Please return ALL numbered pages, including any pages you did not need to complete.

STANDARD MAIL:

Nuveen Funds
P.O. Box 219140
Kansas City, MO 64121-9140

OVERNIGHT:

Nuveen Funds
801 Pennsylvania Ave
Suite 219140
Kansas City, MO 64105-1307

