



2 Hanson Place
12th Floor
Brooklyn, NY 11217

The Bank of New York Mellon, in its capacity as Trustee, has prepared the information posted by the Sponsor herein in accordance with its duties, obligations and standard of care as set forth in the Trust Agreement.

Report of Organizational Actions Affecting Basis of Securities

OMB No. 1545-0123

► See separate instructions.

Part I Reporting Issuer

1 Issuer's name		2 Issuer's employer identification number (EIN)	
SEE APPENDIX A		SEE APPENDIX A	
3 Name of contact for additional information	4 Telephone No. of contact	5 Email address of contact	
BNY MELLON, TRUSTEE	866-568-8985	BNYM.UIT.ETF.Tax@bnymellon.com	
6 Number and street (or P.O. box if mail is not delivered to street address) of contact		7 City, town, or post office, state, and ZIP code of contact	
P.O. BOX 455		EAST SYRACUSE, NY 13057	
8 Date of action	9 Classification and description		
SEE APPENDIX A	RETURN OF CAPITAL		
10 CUSIP number	11 Serial number(s)	12 Ticker symbol	13 Account number(s)
SEE APPENDIX A	N/A	SEE APPENDIX A	N/A

Part II Organizational Action Attach additional statements if needed. See back of form for additional questions.

- 14 Describe the organizational action and, if applicable, the date of the action or the date against which shareholders' ownership is measured for the action ► DURING THE YEAR ENDED DECEMBER 31, 2017, THE ISSUER DISTRIBUTED AMOUNTS TO ITS UNITHOLDERS, A PORTION OR ALL OF WHICH CONSTITUTED A RETURN OF CAPITAL. SEE APPENDIX A FOR A COMPLETE LIST OF DISTRIBUTIONS AND RETURN OF CAPITAL AMOUNTS.
- 15 Describe the quantitative effect of the organizational action on the basis of the security in the hands of a U.S. taxpayer as an adjustment per share or as a percentage of old basis ► APPENDIX A PROVIDES THE TOTAL DISTRIBUTIONS PER UNIT, AS WELL AS THE RETURN OF CAPITAL PER UNIT, ON A DISTRIBUTION BY DISTRIBUTION BASIS. THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE TAXPAYER'S ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION.
- 16 Describe the calculation of the change in basis and the data that supports the calculation, such as the market values of securities and the valuation dates ► AN ANALYSIS OF THE ISSUER'S CURRENT AND ACCUMULATED EARNINGS AND PROFITS WAS PERFORMED IN ORDER TO DETERMINE THE PORTION OF THE DISTRIBUTION THAT CONSTITUTED A DIVIDEND AND THE PORTION THAT CONSTITUTED A RETURN OF CAPITAL.

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ▶

I.R.C. SECTION 301(c)(2)

18 Can any resulting loss be recognized? ▶ THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION. UPON THE DISPOSITION OF ALL OR A PORTION OF THE UNITS, THE TAXPAYER SHOULD RECOGNIZE GREATER GAIN/LESS LOSS.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ▶

THE REPORTABLE TAX YEAR OF THE DISTRIBUTIONS IS 2017.**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature ▶

Print your name ▶

Thomas Balbone

Date ▶

Title ▶

2/20/18
VP**Paid Preparer Use Only**

Print/Type preparer's name

Baruch J. Cohen

Preparer's signature

[Signature]

Date

2/20/18Check ☒ if self-employed

PTIN

P01269048

Firm's name ▶

Deloitte Tax, LLP

Firm's EIN ▶

86-1065772

Firm's address ▶

30 ROCKEFELLER PLAZANEW YORK, NY 10112-0015Phone no. 212-492-3600

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO, SERIES2	35-2532512	45330C109	45330C125	45330C133	45330C117		NGD0002	1/10/2017	\$ 0.02170	\$ 0.00312
NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO, SERIES2	35-2532512	45330C109	45330C125	45330C133	45330C117		NGD0002	2/10/2017	\$ 0.01010	\$ 0.00145
NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO, SERIES2	35-2532512	45330C109	45330C125	45330C133	45330C117		NGD0002	4/10/2017	\$ 0.02180	\$ 0.00314
NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO, SERIES2	35-2532512	45330C109	45330C125	45330C133	45330C117		NGD0002	5/10/2017	\$ 0.05540	\$ 0.00797
ZACKS SELECT EQUITIES BLEND PORTFOLIO, 4Q 2015	35-2541409	45331N138	45331N120	45331N104	45331N112		SEB4Q15	1/10/2017	\$ 0.48430	\$ 0.48430
BOFA MERRILL LYNCH FOOD SECURITY PORTFOLIO, SERIES2	35-2548801	45332C115	45332C123	45332C131	45332C107		MF50002	5/10/2017	\$ 0.55510	\$ 0.28438
PROSPECT CYCLICAL MOMENTUM PORTFOLIO, SERIES 3	35-2551101	45336A131	45336A123	45336A107	45336A115		PCM0003	6/10/2017	\$ 0.05820	\$ 0.00485
PROSPECT CYCLICAL MOMENTUM PORTFOLIO, SERIES 3	35-2551101	45336A131	45336A123	45336A107	45336A115		PCM0003	12/10/2017	\$ 0.02050	\$ 0.00171
NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO, 2Q 2016	35-2560692	67076B103	67076B129	67076B137	67076B111		NGD2Q16	2/10/2017	\$ 0.32690	\$ 0.07267
NUVEEN BALANCE SHEET STRENGTH PORTFOLIO, 2Q 2016	35-2560695	67078E139	67078E121	67078E105	67078E113		BSS2Q16	4/10/2017	\$ 0.19430	\$ 0.12412
NUVEEN BALANCE SHEET STRENGTH PORTFOLIO, 2Q 2016	35-2560695	67078E139	67078E121	67078E105	67078E113		BSS2Q16	5/10/2017	\$ 0.07810	\$ 0.04989
NUVEEN BALANCE SHEET STRENGTH PORTFOLIO, 2Q 2016	35-2560695	67078E139	67078E121	67078E105	67078E113		BSS2Q16	9/10/2017	\$ 0.26120	\$ 0.16685
NUVEEN BOFA MERRILL LYNCH FOOD SECURITY PORTFOLIO, SERIES 3	35-2566326	67080W119	67080W127	67080W135	67080W101		MF50003	3/10/2017	\$ 0.01450	\$ 0.00798
NUVEEN BOFA MERRILL LYNCH FOOD SECURITY PORTFOLIO, SERIES 3	35-2566326	67080W119	67080W127	67080W135	67080W101		MF50003	6/10/2017	\$ 0.03110	\$ 0.01711
NUVEEN BOFA MERRILL LYNCH FOOD SECURITY PORTFOLIO, SERIES 3	35-2566326	67080W119	67080W127	67080W135	67080W101		MF50003	9/10/2017	\$ 0.02990	\$ 0.01645
Nuveen NWQ Global Dividend Value Portfolio, 3Q 2016	35-2566400	67080G106	67080G122	67080G130	67080G114		NGD3Q16	1/10/2017	\$ 0.01210	\$ 0.00256
Nuveen NWQ Global Dividend Value Portfolio, 3Q 2016	35-2566400	67080G106	67080G122	67080G130	67080G114		NGD3Q16	2/10/2017	\$ 0.31220	\$ 0.30683
Nuveen NWQ Global Dividend Value Portfolio, 3Q 2016	35-2566400	67080G106	67080G122	67080G130	67080G114		NGD3Q16	3/10/2017	\$ 0.01530	\$ 0.00323
Nuveen NWQ Global Dividend Value Portfolio, 3Q 2016	35-2566400	67080G106	67080G122	67080G130	67080G114		NGD3Q16	4/10/2017	\$ 0.02520	\$ 0.00533
Nuveen NWQ Global Dividend Value Portfolio, 3Q 2016	35-2566400	67080G106	67080G122	67080G130	67080G114		NGD3Q16	5/10/2017	\$ 0.03180	\$ 0.00672
Nuveen NWQ Global Dividend Value Portfolio, 3Q 2016	35-2566400	67080G106	67080G122	67080G130	67080G114		NGD3Q16	6/10/2017	\$ 0.08020	\$ 0.01695
Nuveen NWQ Global Dividend Value Portfolio, 3Q 2016	35-2566400	67080G106	67080G122	67080G130	67080G114		NGD3Q16	7/10/2017	\$ 0.03220	\$ 0.00681
Nuveen NWQ Global Dividend Value Portfolio, 3Q 2016	35-2566400	67080G106	67080G122	67080G130	67080G114		NGD3Q16	8/10/2017	\$ 0.01080	\$ 0.00228
Nuveen NWQ Global Dividend Value Portfolio, 3Q 2016	35-2566400	67080G106	67080G122	67080G130	67080G114		NGD3Q16	9/10/2017	\$ 0.01380	\$ 0.00292
Nuveen NWQ Global Dividend Value Portfolio, 3Q 2016	35-2566400	67080G106	67080G122	67080G130	67080G114		NGD3Q16	11/10/2017	\$ 0.02100	\$ 0.00444
Nuveen Balance Sheet Strength Portfolio, 3Q 2016	35-2566403	67092C135	67092C127	67092C101	67092C119		BSS3Q16	4/10/2017	\$ 0.14880	\$ 0.04205
Nuveen Balance Sheet Strength Portfolio, 3Q 2016	35-2566403	67092C135	67092C127	67092C101	67092C119		BSS3Q16	5/10/2017	\$ 0.06040	\$ 0.01707
NUVEEN ZACKS SELECT EQUITIES BLEND PORTFOLIO, 4Q 2016	35-2571396	67092J171	67092J163	67092J148	67092J155		SEB4Q16	6/10/2017	\$ 0.03240	\$ 0.03240
NUVEEN ZACKS SELECT EQUITIES BLEND PORTFOLIO, 4Q 2016	35-2571396	67092J171	67092J163	67092J148	67092J155		SEB4Q16	11/10/2017	\$ 0.20500	\$ 0.20500
NUVEEN ZACKS SELECT EQUITIES BLEND PORTFOLIO, 4Q 2016	35-2571396	67092J171	67092J163	67092J148	67092J155		SEB4Q16	12/10/2017	\$ 0.08660	\$ 0.08660
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 4Q 2016	35-2571399	67078W105	67078W121	67078W139	67078W113		IDS4Q16	1/10/2017	\$ 0.03770	\$ 0.00929
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 4Q 2016	35-2571399	67078W105	67078W121	67078W139	67078W113		IDS4Q16	2/10/2017	\$ 0.02350	\$ 0.00579
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 4Q 2016	35-2571399	67078W105	67078W121	67078W139	67078W113		IDS4Q16	3/10/2017	\$ 0.02240	\$ 0.00552
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 4Q 2016	35-2571399	67078W105	67078W121	67078W139	67078W113		IDS4Q16	4/10/2017	\$ 0.02910	\$ 0.00717
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 4Q 2016	35-2571399	67078W105	67078W121	67078W139	67078W113		IDS4Q16	5/10/2017	\$ 0.02380	\$ 0.00586
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 4Q 2016	35-2571399	67078W105	67078W121	67078W139	67078W113		IDS4Q16	6/10/2017	\$ 0.06200	\$ 0.01528
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 4Q 2016	35-2571399	67078W105	67078W121	67078W139	67078W113		IDS4Q16	7/10/2017	\$ 0.03010	\$ 0.00742
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 4Q 2016	35-2571399	67078W105	67078W121	67078W139	67078W113		IDS4Q16	8/10/2017	\$ 0.02180	\$ 0.00537
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 4Q 2016	35-2571399	67078W105	67078W121	67078W139	67078W113		IDS4Q16	9/10/2017	\$ 0.01980	\$ 0.00488
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 4Q 2016	35-2571399	67078W105	67078W121	67078W139	67078W113		IDS4Q16	10/10/2017	\$ 0.02680	\$ 0.00660
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 4Q 2016	35-2571399	67078W105	67078W121	67078W139	67078W113		IDS4Q16	11/10/2017	\$ 0.01860	\$ 0.00458
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 4Q 2016	35-2571399	67078W105	67078W121	67078W139	67078W113		IDS4Q16	12/10/2017	\$ 0.02380	\$ 0.00586
Nuveen Najarian Heat Seeker Portfolio, 4Q 2016	35-2574324	67076D117	67076D125	67076D133	67076D109		NHS4Q16	3/10/2017	\$ 0.01790	\$ 0.01009
Nuveen Najarian Heat Seeker Portfolio, 4Q 2016	35-2574324	67076D117	67076D125	67076D133	67076D109		NHS4Q16	6/10/2017	\$ 0.02740	\$ 0.01544
Nuveen Najarian Heat Seeker Portfolio, 4Q 2016	35-2574324	67076D117	67076D125	67076D133	67076D109		NHS4Q16	8/10/2017	\$ 0.12640	\$ 0.12640
Nuveen Najarian Heat Seeker Portfolio, 4Q 2016	35-2574324	67076D117	67076D125	67076D133	67076D109		NHS4Q16	9/10/2017	\$ 0.03500	\$ 0.01973
Nuveen Najarian Heat Seeker Portfolio, 4Q 2016	35-2574324	67076D117	67076D125	67076D133	67076D109		NHS4Q16	12/10/2017	\$ 0.04030	\$ 0.02271
Nuveen Balance Sheet Strength Portfolio, 4Q 2016	35-2574325	67076G136	67076G128	67076G102	67076G110		BSS4Q16	4/10/2017	\$ 0.14540	\$ 0.10236
Nuveen Large Cap Dividend Portfolio, 1Q 2017	35-2576222	67081A116	67081A124	67081A132	67081A108		NLD1Q17	3/10/2017	\$ 0.01930	\$ 0.00362
Nuveen Large Cap Dividend Portfolio, 1Q 2017	35-2576222	67081A116	67081A124	67081A132	67081A108		NLD1Q17	6/10/2017	\$ 0.07010	\$ 0.01316
Nuveen Large Cap Dividend Portfolio, 1Q 2017	35-2576222	67081A116	67081A124	67081A132	67081A108		NLD1Q17	9/10/2017	\$ 0.07010	\$ 0.01316
Nuveen Large Cap Dividend Portfolio, 1Q 2017	35-2576222	67081A116	67081A124	67081A132	67081A108		NLD1Q17	12/10/2017	\$ 0.06800	\$ 0.01276
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 1Q 2017	35-2579909	67079L108	67079L124	67079L132	67079L116		IDS1Q17	4/10/2017	\$ 0.04490	\$ 0.00871
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 1Q 2017	35-2579909	67079L108	67079L124	67079L132	67079L116		IDS1Q17	5/10/2017	\$ 0.03510	\$ 0.00487
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 1Q 2017	35-2579909	67079L108	67079L124	67079L132	67079L116		IDS1Q17	6/10/2017	\$ 0.06380	\$ 0.01238
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 1Q 2017	35-2579909	67079L108	67079L124	67079L132	67079L116		IDS1Q17	7/10/2017	\$ 0.04170	\$ 0.00809
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 1Q 2017	35-2579909	67079L108	67079L124	67079L132	67079L116		IDS1Q17	8/10/2017	\$ 0.02340	\$ 0.00454
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 1Q 2017	35-2579909	67079L108	67079L124	67079L132	67079L116		IDS1Q17	9/10/2017	\$ 0.02330	\$ 0.00452
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 1Q 2017	35-2579909	67079L108	67079L124	67079L132	67079L116		IDS1Q17	10/10/2017	\$ 0.03000	\$ 0.00582
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 1Q 2017	35-2579909	67079L108	67079L124	67079L132	67079L116		IDS1Q17	11/10/2017	\$ 0.02150	\$ 0.00417
NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO, 1Q 2017	35-2579909	67079L108	67079L124	67079L132	67079L116		IDS1Q17	12/10/2017	\$ 0.02670	\$ 0.00518
NUVEEN BALANCE SHEET STRENGTH PORTFOLIO, 1Q 2017	35-2583794	67076U135	67076U127	67076U101	67076U119		BSS1Q17	12/10/2017	\$ 0.03090	\$ 0.03090
NUVEEN NAJARIAN HEAT SEEKER PORTFOLIO, 1Q 2017	35-2583795	67076V117	67076V125	67076V133	67076V109		NHS1Q17	8/10/2017	\$ 0.11450	\$ 0.11450
NUVEEN NAJARIAN HEAT SEEKER PORTFOLIO, 1Q 2017	35-2583795	67076V117	67076V125	67076V133	67076V109		NHS1Q17	9/10/2017	\$ 0.04280	\$ 0.04280
NUVEEN NAJARIAN HEAT SEEKER PORTFOLIO, 1Q 2017	35-2583795	67076V117	67076V125	67076V133	67076V109		NHS1Q17	12/10/2017	\$ 0.03830	\$ 0.03830
Nuveen NWQ Diversified Income Portfolio, 2Q 2017	35-2587024	67097A100	67097A126	67097A134	67097A118		NDI2Q17	9/10/2017	\$ 0.31840	\$ 0.27920

Any tax advice included in this written communication was not intended or written to be used, and it cannot be used by the taxpayer, for the purpose of avoiding any penalties that may be imposed by any governmental taxing authority or agency.

Report of Organizational Actions Affecting Basis of Securities

OMB No. 1545-0123

► See separate instructions.

Part I Reporting Issuer

1 Issuer's name		2 Issuer's employer identification number (EIN)	
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3 Name of contact for additional information	4 Telephone No. of contact	5 Email address of contact	
BNY MELLON, TRUSTEE	866-568-8985	BNYM.UIT.ETF.Tax@bnymellon.com	
6 Number and street (or P.O. box if mail is not delivered to street address) of contact		7 City, town, or post office, state, and ZIP code of contact	
P.O. BOX 455		EAST SYRACUSE, NY 13057	
8 Date of action		9 Classification and description	
SEE APPENDIX B		RETURN OF CAPITAL	
10 CUSIP number	11 Serial number(s)	12 Ticker symbol	13 Account number(s)
SEE APPENDIX B	N/A	SEE APPENDIX B	N/A

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Part II Organizational Action (continued)

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I.R.C. SECTION 301(c)(2)

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19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ▶

THE REPORTABLE TAX YEAR OF THE DISTRIBUTIONS IS 2017.

Sign
Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature ▶

Date ▶

Print your name ▶

Title ▶

Paid
Preparer
Use Only

Print/Type preparer's name

Preparer's signature

Date

Check ☒ if
self-employed

PTIN

Baruch J. Cohen

3/12/2018

P01269048

Firm's name ▶ Deloitte Tax, LLP

Firm's EIN ▶ 86-1065772

Firm's address ▶ 30 ROCKEFELLER PLAZA

NEW YORK, NY 10112-0015

Phone no. 212-492-3600

Send Form 8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
PROSPECT INCOME FINANCE PORTFOLIO SERIES 3	35-2529674	45325B140	45325B165	45325B173	45325B157		PIF0003	1/10/2017	\$ 0.07630	\$ 0.03314
PROSPECT INCOME FINANCE PORTFOLIO SERIES 3	35-2529674	45325B140	45325B165	45325B173	45325B157		PIF0003	2/10/2017	\$ 0.07050	\$ 0.03063
PROSPECT INCOME FINANCE PORTFOLIO SERIES 3	35-2529674	45325B140	45325B165	45325B173	45325B157		PIF0003	3/10/2017	\$ 0.06370	\$ 0.02767
PROSPECT INCOME FINANCE PORTFOLIO SERIES 3	35-2529674	45325B140	45325B165	45325B173	45325B157		PIF0003	4/10/2017	\$ 0.06090	\$ 0.02645
PROSPECT ENERGY AND POWER PORTFOLIO SERIES 2	35-2529675	45325B108	45325B124	45325B132	45325B116		PEP0002	1/10/2017	\$ 0.03360	\$ 0.03183
PROSPECT ENERGY AND POWER PORTFOLIO SERIES 2	35-2529675	45325B108	45325B124	45325B132	45325B116		PEP0002	2/10/2017	\$ 0.03860	\$ 0.03657
PROSPECT ENERGY AND POWER PORTFOLIO SERIES 2	35-2529675	45325B108	45325B124	45325B132	45325B116		PEP0002	3/10/2017	\$ 0.03260	\$ 0.03088
PROSPECT ENERGY AND POWER PORTFOLIO SERIES 2	35-2529675	45325B108	45325B124	45325B132	45325B116		PEP0002	4/10/2017	\$ 0.03200	\$ 0.03031
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, SERIES2	35-2554351	45332N103	45332N129	45332N137	45332N111		NED00002	1/10/2017	\$ 0.02080	\$ 0.00005
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, SERIES2	35-2554351	45332N103	45332N129	45332N137	45332N111		NED00002	2/10/2017	\$ 0.03100	\$ 0.00008
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, SERIES2	35-2554351	45332N103	45332N129	45332N137	45332N111		NED00002	4/10/2017	\$ 0.03050	\$ 0.00008
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, SERIES2	35-2554351	45332N103	45332N129	45332N137	45332N111		NED00002	5/10/2017	\$ 0.03530	\$ 0.00009
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, SERIES2	35-2554351	45332N103	45332N129	45332N137	45332N111		NED00002	6/10/2017	\$ 0.06000	\$ 0.00015
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, SERIES2	35-2554351	45332N103	45332N129	45332N137	45332N111		NED00002	7/10/2017	\$ 0.02780	\$ 0.00007
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, SERIES2	35-2554351	45332N103	45332N129	45332N137	45332N111		NED00002	8/10/2017	\$ 0.04360	\$ 0.00011
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, SERIES2	35-2554351	45332N103	45332N129	45332N137	45332N111		NED00002	9/10/2017	\$ 0.01800	\$ 0.00005
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, SERIES2	35-2554351	45332N103	45332N129	45332N137	45332N111		NED00002	10/10/2017	\$ 0.02450	\$ 0.00006
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, SERIES2	35-2554351	45332N103	45332N129	45332N137	45332N111		NED00002	11/10/2017	\$ 0.03030	\$ 0.00008
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, SERIES2	35-2554351	45332N103	45332N129	45332N137	45332N111		NED00002	12/10/2017	\$ 0.01360	\$ 0.00003
PROSPECT RISING RATES BENEFICIARIES PORTFOLIO, SERIES 3	35-2554356	45332M113	45332M121	45332M139	45332M105		PRB0003	12/18/2017	\$ 0.04770	\$ 0.00031
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	1/10/2017	\$ 0.09020	\$ 0.01414
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	2/10/2017	\$ 0.08250	\$ 0.01294
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	3/10/2017	\$ 0.07540	\$ 0.01182
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	4/10/2017	\$ 0.07390	\$ 0.01159
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	4/10/2017	\$ 0.10070	\$ 0.01070
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	5/10/2017	\$ 0.07400	\$ 0.01160
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	6/10/2017	\$ 0.07340	\$ 0.01151
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	7/10/2017	\$ 0.07340	\$ 0.01151
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	8/10/2017	\$ 0.07630	\$ 0.01196
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	9/10/2017	\$ 0.07630	\$ 0.01196
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	10/10/2017	\$ 0.07620	\$ 0.01195
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	11/10/2017	\$ 0.07500	\$ 0.01176
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2558624	67076A147	67076A162	67076A170	67076A154		PIF2Q16	12/10/2017	\$ 0.07510	\$ 0.01178
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	1/10/2017	\$ 0.05160	\$ 0.02606
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	2/10/2017	\$ 0.06160	\$ 0.03111
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	3/10/2017	\$ 0.05190	\$ 0.02621
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	4/10/2017	\$ 0.05170	\$ 0.02611
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	5/10/2017	\$ 0.05850	\$ 0.02955
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	6/10/2017	\$ 0.05030	\$ 0.02541
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	7/10/2017	\$ 0.04990	\$ 0.02520
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	8/10/2017	\$ 0.05630	\$ 0.02844
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	8/10/2017	\$ 0.41800	\$ 0.41466
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	9/10/2017	\$ 0.04610	\$ 0.02329
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	9/10/2017	\$ 0.40210	\$ 0.39888
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	10/10/2017	\$ 0.04610	\$ 0.02329
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	11/10/2017	\$ 0.05350	\$ 0.02702
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	12/10/2017	\$ 0.04340	\$ 0.02192
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 2Q 2016	35-2558625	67076A105	67076A121	67076A139	67076A113		PEP2Q16	12/10/2017	\$ 0.40400	\$ 4.0077
NUVEEN CORE REAL ESTATE SECURITIES PORTFOLIO, 2Q 2016	35-2560693	67077G119	67077G127	67077G135	67077G101		NRE2Q16	3/10/2017	\$ 0.07230	\$ 0.04493
NUVEEN CORE REAL ESTATE SECURITIES PORTFOLIO, 2Q 2016	35-2560693	67077G119	67077G127	67077G135	67077G101		NRE2Q16	6/10/2017	\$ 0.07660	\$ 0.04760
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, 3Q 2016	35-2563610	67078H108	67078H124	67078H132	67078H116		NED3Q16	1/10/2017	\$ 0.01430	\$ 0.00267
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, 3Q 2016	35-2563610	67078H108	67078H124	67078H132	67078H116		NED3Q16	2/10/2017	\$ 0.03310	\$ 0.00619
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, 3Q 2016	35-2563610	67078H108	67078H124	67078H132	67078H116		NED3Q16	4/10/2017	\$ 0.03140	\$ 0.00587
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, 3Q 2016	35-2563610	67078H108	67078H124	67078H132	67078H116		NED3Q16	5/10/2017	\$ 0.04010	\$ 0.00750
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, 3Q 2016	35-2563610	67078H108	67078H124	67078H132	67078H116		NED3Q16	6/10/2017	\$ 0.05000	\$ 0.00935
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, 3Q 2016	35-2563610	67078H108	67078H124	67078H132	67078H116		NED3Q16	7/10/2017	\$ 0.02910	\$ 0.00544
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, 3Q 2016	35-2563610	67078H108	67078H124	67078H132	67078H116		NED3Q16	8/10/2017	\$ 0.04770	\$ 0.00892
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, 3Q 2016	35-2563610	67078H108	67078H124	67078H132	67078H116		NED3Q16	9/10/2017	\$ 0.02000	\$ 0.00374
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, 3Q 2016	35-2563610	67078H108	67078H124	67078H132	67078H116		NED3Q16	10/10/2017	\$ 0.02110	\$ 0.00395
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, 3Q 2016	35-2563610	67078H108	67078H124	67078H132	67078H116		NED3Q16	11/10/2017	\$ 0.02250	\$ 0.00421
NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO, 3Q 2016	35-2563610	67078H108	67078H124	67078H132	67078H116		NED3Q16	12/10/2017	\$ 0.01320	\$ 0.00247
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2566315	67080F108	67080F124	67080F132	67080F116		PIF3Q16	1/10/2017	\$ 0.08010	\$ 0.01320
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2566315	67080F108	67080F124	67080F132	67080F116		PIF3Q16	2/10/2017	\$ 0.07450	\$ 0.01228
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2566315	67080F108	67080F124	67080F132	67080F116		PIF3Q16	3/10/2017	\$ 0.07040	\$ 0.01160
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2566315	67080F108	67080F124	67080F132	67080F116		PIF3Q16	4/10/2017	\$ 0.06810	\$ 0.01122
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2566315	67080F108	67080F124	67080F132	67080F116		PIF3Q16	5/10/2017	\$ 0.06720	\$ 0.01107
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2566315	67080F108	67080F124	67080F132	67080F116		PIF3Q16	6/10/2017	\$ 0.06630	\$ 0.01093
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2566315	67080F108	67080F124	67080F132	67080F116		PIF3Q16	7/10/2017	\$ 0.07090	\$ 0.01168
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2566315	67080F108	67080F124	67080F132	67080F116		PIF3Q16	8/10/2017	\$ 0.06850	\$ 0.01129
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2566315	67080F108	67080F124	67080F132	67080F116		PIF3Q16	9/10/2017	\$ 0.06850	\$ 0.01129
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2566315	67080F108	67080F124	67080F132	67080F116		PIF3Q16	10/10/2017	\$ 0.07100	\$ 0.01170
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2566315	67080F108	67080F124	67080F132	67080F116		PIF3Q16	11/10/2017	\$ 0.06750	\$ 0.01112
NUVEEN PROSPECT INCOME FINANCE PORTFOLIO, 2Q 2016	35-2566315	67080F108	67080F124	67080F132	67080F116		PIF3Q16	12/10/2017	\$ 0.06700	\$ 0.01104
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 3Q 2016	35-2566316	67080F140	67080F165	67080F173	67080F157		PEP3Q16	1/10/2017	\$ 0.04920	\$ 0.02157
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 3Q 2016	35-2566316	67080F140	67080F165	67080F173	67080F157		PEP3Q16	2/10/2017	\$ 0.05900	\$ 0.02587
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 3Q 2016	35-2566316	67080F140	67080F165	67080F173	67080F157		PEP3Q16	3/10/2017	\$ 0.04810	\$ 0.02132
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 3Q 2016	35-2566316	67080F140	67080F165	67080F173	67080F157		PEP3Q16	6/10/2017	\$ 0.04840	\$ 0.02127
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 3Q 2016	35-2566316	67080F140	67080F165	67080F173	67080F157		PEP3Q16	5/10/2017	\$ 0.05570	\$ 0.02442
NUVEEN PROSPECT ENERGY AND POWER INCOME PORTFOLIO, 3Q 2016	35-2566316	67080F14								

NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO, 1Q 2017	35-2583792	67076L143	67076L168	67076L176	67076L150		NGD1Q17	7/10/2017	\$	0.01380	\$	0.00675
NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO, 1Q 2017	35-2583792	67076L143	67076L168	67076L176	67076L150		NGD1Q17	8/10/2017	\$	0.03070	\$	0.01502
NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO, 1Q 2017	35-2583792	67076L143	67076L168	67076L176	67076L150		NGD1Q17	8/10/2017	\$	0.30510	\$	0.30196
NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO, 1Q 2017	35-2583792	67076L143	67076L168	67076L176	67076L150		NGD1Q17	9/10/2017	\$	0.01060	\$	0.00519
NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO, 1Q 2017	35-2583792	67076L143	67076L168	67076L176	67076L150		NGD1Q17	10/10/2017	\$	0.01080	\$	0.00528
NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO, 1Q 2017	35-2583792	67076L143	67076L168	67076L176	67076L150		NGD1Q17	11/10/2017	\$	0.03910	\$	0.01913
NUVEEN CORE REAL ESTATE SECURITIES PORTFOLIO, 1Q 2017	35-2583793	67075Q119	67075Q127	67075Q135	67075Q101		NRE1Q17	6/10/2017	\$	0.05670	\$	0.04558
NUVEEN CORE REAL ESTATE SECURITIES PORTFOLIO, 1Q 2017	35-2583793	67075Q119	67075Q127	67075Q135	67075Q101		NRE1Q17	9/10/2017	\$	0.07400	\$	0.05949
NUVEEN CORE REAL ESTATE SECURITIES PORTFOLIO, 1Q 2017	35-2583793	67075Q119	67075Q127	67075Q135	67075Q101		NRE1Q17	12/10/2017	\$	0.07340	\$	0.05901
Nuveen TIAA ESG Global Dividend Portfolio, 2Q 2017	35-2587023	67092V109	67092V125	67092V133	67092V117		NED2Q17	5/10/2017	\$	0.02350	\$	0.00552
Nuveen TIAA ESG Global Dividend Portfolio, 2Q 2017	35-2587023	67092V109	67092V125	67092V133	67092V117		NED2Q17	6/10/2017	\$	0.05120	\$	0.01203
Nuveen TIAA ESG Global Dividend Portfolio, 2Q 2017	35-2587023	67092V109	67092V125	67092V133	67092V117		NED2Q17	7/10/2017	\$	0.02280	\$	0.00536
Nuveen TIAA ESG Global Dividend Portfolio, 2Q 2017	35-2587023	67092V109	67092V125	67092V133	67092V117		NED2Q17	8/10/2017	\$	0.03230	\$	0.00759
Nuveen TIAA ESG Global Dividend Portfolio, 2Q 2017	35-2587023	67092V109	67092V125	67092V133	67092V117		NED2Q17	9/10/2017	\$	0.02520	\$	0.00592
Nuveen TIAA ESG Global Dividend Portfolio, 2Q 2017	35-2587023	67092V109	67092V125	67092V133	67092V117		NED2Q17	10/10/2017	\$	0.02500	\$	0.00588
Nuveen TIAA ESG Global Dividend Portfolio, 2Q 2017	35-2587023	67092V109	67092V125	67092V133	67092V117		NED2Q17	12/10/2017	\$	0.02340	\$	0.00550
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