

You should use this IRA Asset Transfer Form to transfer assets from your current IRA custodian or retirement plan custodian to an IRA with Nuveen Funds. Be sure to complete the IRA New Account Form/Adoption Agreement if you are opening a new IRA with Nuveen Funds. Upon receipt of this form, we will contact your current custodian to arrange the transfer. Please complete a separate IRA transfer form for each different type of account being transferred. You should also use this form to convert Traditional IRA assets from your current custodian to a Nuveen Funds Roth Conversion IRA. You must also complete a Roth IRA Conversion Form.

By signing this form, investor(s) acknowledges that neither Nuveen Funds nor any affiliate or service provider to Nuveen Funds has provided the investor(s) with advice, recommendations, or suggestions as to any specific investment decisions. Investors in Nuveen Funds are urged to consult their own professional advisors before making investment-related decisions, including but not limited to those related to transfer or rollover from retirement plans, purchase or sale of investments, selection or retention of investment managers, or selection of account beneficiaries.

**Send your signed and completed form to Nuveen Funds per the Return Completed Forms section below or in the enclosed customer reply envelope. Please contact Nuveen Funds with any questions at 800-257-8787.**

### 1. ACCOUNT REGISTRATION (REQUIRED)

Owner's Name (Please print or type)

Prefix	First Name	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Name of Entity

If you are transferring and establishing an entity account as a beneficiary of an inherited IRA and you are an authorized signer, you must complete the Nuveen Funds Legal Entity Beneficial Ownership Certification Form included with the Nuveen Funds Traditional and Roth IRAs New Account Form/Adoption Agreement required to establish the account.

Account Number	Social Security Number/ Taxpayer Identification Number	Date of Birth (mm/dd/yyyy)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Nonresident Non-U.S. Citizens: Enter your Social Security Number/Individual Taxpayer Identification Number

Address Street or P.O. Box (APO and FPO addresses will be accepted)	City	State	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Address (If the above address is a P.O. Box, you must also provide a street address)	City	State	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Primary Phone Number	Phone Number Type	Secondary Phone Number	Phone Number Type
<input type="text"/>	<input type="checkbox"/> Mobile <input type="checkbox"/> Home <input type="checkbox"/> Business	<input type="text"/>	<input type="checkbox"/> Mobile <input type="checkbox"/> Home <input type="checkbox"/> Business

Alternate Phone Number	Phone Number Type	Email Address
<input type="text"/>	<input type="checkbox"/> Mobile <input type="checkbox"/> Home <input type="checkbox"/> Business	<input type="text"/>

### 2. FOR INHERITED ACCOUNTS ONLY

Decedent's Name	Decedent's Social Security Number	Decedent's PIN (Nuveen clients only)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Decedent's Date of Birth (mm/dd/yyyy)	Decedent's Date of Death (mm/dd/yyyy)
<input type="text"/>	<input type="text"/>

(Continued)



## 2. FOR INHERITED ACCOUNTS ONLY (CONTINUED)

Please identify your beneficiary relationship with the original owner of the IRA/Roth IRA in order to open an account. Please select below only **one** beneficiary type. If you need further guidance, contact your tax advisor. **Note:** *If: (i) you received your inherited IRA from a decedent who passed away on or before December 31, 2019, and you opted to receive distributions over your life, or (ii) you are a beneficiary type marked by an asterisk (\*) and you received your inherited IRA from a decedent who passed away after December 31, 2019, you must additionally complete the Required Minimum Distributions for the Beneficiary section below.*

The SECURE Act modified the Internal Revenue Code definition of a retirement account beneficiary as well as the distribution rules a beneficiary must follow when distributing assets from an inherited retirement account. This in turn impacts when an inherited retirement account is considered abandoned under a state's unclaimed property law. As a result, we need to confirm your beneficiary type in the relation to the original owner from whom you inherited the retirement account. To prevent your inherited retirement account from being considered abandoned, we recommend you maintain regular contact with Nuveen by either periodically logging in to your account or contacting customer service.

### BENEFICIARY TYPE SELECTION (REQUIRED)

Please select one:

#### INHERITING DIRECTLY FROM THE ORIGINAL DECEASED OWNER:

- First Generation Non-Designated Beneficiary\* (1st Gen NDB)** Select this option if the inherited IRA is being transferred to an entity beneficiary (estate, charity, non-see-through trust).
- First Generation Eligible Designated Sole Spouse Beneficiary (1st Gen EDB-Sole Spouse)** Select this option if you are a sole spouse and you are **not** electing to treat as your own IRA. If you are treating the IRA as your own, skip this section and see the Remaining Required Minimum Distribution of the IRA Owner section below.
- First Generation Eligible Designated Minor Beneficiary of Deceased Owner\* (1st Gen EDB-Minor)** Select this option if the inherited IRA is for a minor (under the age of 21) who is a direct descendent (son or daughter) of the deceased shareholder.
- First Generation Eligible Designated Beneficiary – Other\* (1st Gen DB)** Select this option if you are eligible for Life Expectancy payments (chronically ill beneficiary, disabled beneficiary, eligible designated beneficiary less than 10 years younger than original account owner).
- First Generation Designated Beneficiary** Select this option if you are not eligible for Life Expectancy (sibling of deceased, grandchild of deceased, child over the age of 21).

#### INHERITING FROM A BENEFICIARY (NOT THE ORIGINAL DECEASED OWNER):

The previous beneficiary:

First Name

Last Name

Date of Birth (mm/dd/yyyy)

 /  /    

Date of Death (mm/dd/yyyy)

 /  /    

- Succeeding Generation Beneficiary when First Generation was Eligible Designated Sole Spouse Beneficiary\* (2<sup>nd</sup> or > Gen EDB-Sole Spouse)** Select this option if you are a second generation or greater beneficiary when the first generation beneficiary was an Eligible Designated Sole Spouse.
- Succeeding Generation Beneficiary when First Generation was Eligible Designated Minor of Deceased Owner\* (2<sup>nd</sup> or > Gen EDB-Minor)** Select this option if you are a second generation or greater beneficiary when the first generation beneficiary was a minor son or daughter (under the age of 18) of the original account owner.
- Succeeding Generation Beneficiary when First Generation was Eligible Designated Beneficiary – Other\* (2<sup>nd</sup> or > Gen EDB-Other)** Select this option if you are a second generation or greater beneficiary when the first generation beneficiary was eligible for Life Expectancy payments and was not the sole spouse or minor direct decedent of the original account owner.
- Succeeding Generation Beneficiary when First Generation was a Designated Beneficiary (2<sup>nd</sup> or > Gen DB)** Select this option if you are a second generation or greater beneficiary when the first generation beneficiary was a designated beneficiary who was not eligible for Life Expectancy payments.



### 3. CURRENT CUSTODIAN

Please confirm the address below with your current custodian. Nuveen Funds will forward this Asset Transfer Form to the address below to initiate the transfer process. Please attach a copy of your current statement to help expedite this transfer.

Name of Current Custodian

Account Representative (if applicable)

Address

City

State

Zip Code

### 4. SOURCE OF MONEY BEING TRANSFERRED

Please check the box that corresponds to the source of money now being transferred. Nuveen Funds will establish the same type of IRA for you. (Please note: If you are converting a Traditional IRA from your current custodian to a Nuveen Funds Roth Conversion IRA, do not complete this section. Go to Section 5.)

- Traditional Contributory IRA   
  Roth Contributory IRA   
  Roth Conversion IRA   
  Inherited Traditional IRA  
 Inherited Roth IRA   
  IRA Qualified Retirement Plan   
  Rollover IRA (Established only with funds received from a retirement plan distribution)

### 5. FEDERAL TAX WITHHOLDING ELECTION (CHECK ONE)

*(For Conversions Only)* Important income tax information: In accordance with IRS guidance, Nuveen will not withhold amounts for federal tax purposes unless you complete a substitute federal W-4R Withholding Certificate for Nonperiodic Payments and Eligible Rollover Distributions. These rules do not apply to nonresident, non-U.S. citizens. (See NOTE below.)

If you desire federal withholding, please check the box below to agree to voluntary withholding:

- I hereby notify Nuveen that I will provide a federal form W-4R Withholding Certificate, and I will voluntarily elect federal withholding by entering a rate between 0% and 100% on such form.

I understand that if I do not complete and submit a federal form W-4R Withholding Certificate to Nuveen with this transactional form, Nuveen will not withhold amounts for federal tax.

Generally, you are not permitted to elect to have federal income tax withheld at a rate of less than 10% (including 0%) on payments to be delivered outside of the United States and its territories.

**NOTE: If you are a nonresident, non-U.S. citizen, you must check the box below.**

- Notwithstanding the above, as a nonresident, non-U.S. citizen, I understand that special withholding rules apply to a conversion of my Traditional IRA to a Roth IRA unless rules from a relevant income tax treaty apply.

### STATE TAX WITHHOLDING

State income tax withholding may be required from your distribution. If state withholding is mandatory, we will withhold at the rate required by your state. Please note that the state may allow you to elect not to have withholding applied, to choose additional withholding, or to specify the rate of withholding. Please contact Nuveen Funds with any questions at **800-257-8787**.



## 6. ASSET TRANSFER INSTRUCTIONS

List the assets you are transferring to Nuveen Funds. We will contact your current custodian to arrange the transfer. If you are transferring assets from more than two funds, check the box at the end of this section and attach additional transfer instructions.

**Mutual Fund** Mutual Fund Name  Account Number   
 Liquidation in Full  Partial Liquidation of \$  OR   % of Account

**Mutual Fund** Mutual Fund Name  Account Number   
 Liquidation in Full  Partial Liquidation of \$  OR   % of Account

**Certificate of Deposit (CD)** CD Account Number   
 Liquidate Immediately<sup>1</sup>  Liquidate upon Maturity<sup>2</sup> Maturity Date (mm/dd/yyyy)  /  / 20

<sup>1</sup> Some institutions charge a withdrawal penalty for early liquidation of a CD. Check with your current custodian to verify whether this penalty applies to you.

<sup>2</sup> We must receive this form at least 15 days (but not more than one month) prior to the maturity date of the CD.

**Brokerage Accounts** (other than for Mutual Funds or Certificates of Deposit) Account Number   
 Liquidation in Full  Liquidate Only the Following Assets  
Description of Assets (Partial transfers only)  Quantity   
(Indicate "all" or a number of shares, dollar amount, or percentage to be liquidated)

**Other** Type of Asset  Account Number   
 Liquidation in Full  Partial Liquidation of \$  OR   % of Account

I have attached additional transfer instructions



## 7. INVESTMENT ALLOCATION

Please indicate the funds in which you are investing. If you are investing in an existing Nuveen Funds IRA, please fill in your IRA Fund Account number. If you would like to invest in multiple existing IRAs, please attach a separate sheet with the additional information. If you are establishing a **new IRA**, please check the box indicating that this is a new account. Note: If you are opening a new fund within an existing account with the allocations given below, please check the box for acknowledgment in the signature section.<sup>1</sup>

Account Number

Existing Nuveen Funds IRA Account Number          **New IRA Account<sup>1</sup>**

Please check here to set this allocation for future investments unless other instructions are received.

### A CLASS

FUND NAME (FUND CODE)	AMOUNT	PERCENT	FUND NAME (FUND CODE)	AMOUNT	PERCENT
Nuveen 5-15 Year Laddered Tax Exempt Bond Fund (98)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Equity Index Fund (65)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen All-American Municipal Bond Fund (1167)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Equity Long/Short Fund (5044)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Arizona Municipal Bond Fund (5002)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Flexible Income Fund (5052)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Bond Index Fund (91)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Floating Rate Income Fund (5064)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen California High Yield Municipal Bond Fund (1780)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Georgia Municipal Bond Fund (5006)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen California Municipal Bond Fund (5003)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Global Dividend Growth Fund (3037)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Colorado Municipal Bond Fund (5004)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Global Equity Income Fund (1759)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Connecticut Municipal Bond Fund (5005)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Global Infrastructure Fund (6725)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Core Bond Fund (66)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Global Real Estate Securities Fund (7270)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Core Equity Fund (64)*	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Green Bond Fund (2608)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Core Impact Bond Fund (45)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen High Yield Fund (95)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Core Plus Bond Fund (96)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen High Yield Income Fund (5055)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Credit Income Fund (6942)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen High Yield Municipal Bond Fund (5000)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Dividend Growth Fund (5031)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Inflation Linked Bond Fund (90)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Dividend Value Fund (6759)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Intermediate Duration Municipal Bond Fund (1170)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Emerging Markets Debt Fund (2794)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen International Bond Fund (2957)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Emerging Markets Equity Fund (67)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen International Dividend Growth Fund (5068)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Emerging Markets Equity Index Fund (69)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen International Equity Fund (61)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %

\* Effective 5/1/24 the following fund name changes were made: TIAA-CREF Growth and Income Fund was renamed Nuveen Core Equity Fund, TIAA-CREF Social Choice International Equity Fund was renamed Nuveen International Responsible Equity Fund, TIAA-CREF Social Choice Equity Fund was renamed Nuveen Large Cap Responsible Equity Fund, Nuveen Large Cap Value Fund was renamed Nuveen Large Cap Value Opportunities Fund, Nuveen Mid Cap Value Fund was renamed Nuveen Mid Cap Value 1 Fund and TIAA-CREF Real Estate Securities Fund was renamed Nuveen Real Estate Securities Select Fund.

† Effective 12/13/24 the Nuveen Social Choice Low Carbon Equity Fund merged into Nuveen Large Cap Responsible Equity Fund.

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## 7. INVESTMENT ALLOCATION (CONTINUED)

A CLASS					
FUND NAME (FUND CODE)	AMOUNT	PERCENT	FUND NAME (FUND CODE)	AMOUNT	PERCENT
Nuveen International Opportunities Fund (49)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Mid Cap Growth Fund (86)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen International Responsible Equity Fund (2762)*	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Mid Cap Growth Opportunities Fund (6449)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen International Small Cap Fund (7175)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Mid Cap Value 1 Fund (6786)*	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen International Value Fund (1159)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Mid Cap Value Fund (87)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Kansas Municipal Bond Fund (5007)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Minnesota Intermediate Municipal Bond Fund (6804)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Kentucky Municipal Bond Fund (5008)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Minnesota Municipal Bond Fund (6484)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Large Cap Growth Fund (68)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Missouri Municipal Bond Fund (1186)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Large Cap Responsible Equity Fund (62)*, †	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Money Market Fund (63)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Large Cap Select Fund (2605)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Multi Cap Value Fund (1157)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Large Cap Value Fund (85)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Nebraska Municipal Bond Fund (5022)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Large Cap Value Opportunities Fund (5034)*	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen New Jersey Municipal Bond Fund (5013)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Lifecycle Retirement Income Fund (70)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen New Mexico Municipal Bond Fund (5014)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Lifestyle Aggressive Growth Fund (94)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen New York Municipal Bond Fund (5015)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Lifestyle Conservative Fund (78)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen North Carolina Municipal Bond Fund (5016)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Lifestyle Growth Fund (93)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Ohio Municipal Bond Fund (5017)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Lifestyle Income Fund (77)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Oregon Intermediate Municipal Bond Fund (6779)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Lifestyle Moderate Fund (79)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Pennsylvania Municipal Bond Fund (5018)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Limited Term Municipal Bond Fund (5001)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Preferred Securities and Income Fund (5040)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Louisiana Municipal Bond Fund (5009)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Quant International Small Cap Equity Fund (2966)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Managed Allocation Fund (99)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Quant Small Cap Equity Fund (88)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Maryland Municipal Bond Fund (5010)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Quant Small/Mid Cap Equity Fund (2956)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Massachusetts Municipal Bond Fund (5011)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Real Asset Income Fund (6732)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Michigan Municipal Bond Fund (5012)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Real Estate Securities Fund (817)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %

\* Effective 5/1/24 the following fund name changes were made: TIAA-CREF Growth and Income Fund was renamed Nuveen Core Equity Fund, TIAA-CREF Social Choice International Equity Fund was renamed Nuveen International Responsible Equity Fund, TIAA-CREF Social Choice Equity Fund was renamed Nuveen Large Cap Responsible Equity Fund, Nuveen Large Cap Value Fund was renamed Nuveen Large Cap Value Opportunities Fund, Nuveen Mid Cap Value Fund was renamed Nuveen Mid Cap Value 1 Fund and TIAA-CREF Real Estate Securities Fund was renamed Nuveen Real Estate Securities Select Fund.

† Effective 12/13/24 the Nuveen Social Choice Low Carbon Equity Fund merged into Nuveen Large Cap Responsible Equity Fund.

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A11745 (12/24)



**7. INVESTMENT ALLOCATION (CONTINUED)****A CLASS**

<b>FUND NAME (FUND CODE)</b>	<b>AMOUNT</b>	<b>PERCENT</b>	<b>FUND NAME (FUND CODE)</b>	<b>AMOUNT</b>	<b>PERCENT</b>
Nuveen Real Estate Securities Select Fund (89)*	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Small Cap Growth Opportunities Fund (2454)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Short Duration High Yield Municipal Bond Fund (5026)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Small Cap Select Fund (5061)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Short Duration Impact Bond Fund (2609)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Small Cap Value Fund (6740)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Short Term Bond Fund (97)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Small Cap Value Opportunities Fund (5028)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Short Term Bond Index Fund (2797)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Small/Mid Cap Value Fund (5037)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Short Term Municipal Bond Fund (5024)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	<b>Total Amount or Percentage</b>	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %

\* Effective 5/1/24 the following fund name changes were made: TIAA-CREF Growth and Income Fund was renamed Nuveen Core Equity Fund, TIAA-CREF Social Choice International Equity Fund was renamed Nuveen International Responsible Equity Fund, TIAA-CREF Social Choice Equity Fund was renamed Nuveen Large Cap Responsible Equity Fund, Nuveen Large Cap Value Fund was renamed Nuveen Large Cap Value Opportunities Fund, Nuveen Mid Cap Value Fund was renamed Nuveen Mid Cap Value 1 Fund and TIAA-CREF Real Estate Securities Fund was renamed Nuveen Real Estate Securities Select Fund.

† Effective 12/13/24 the Nuveen Social Choice Low Carbon Equity Fund merged into Nuveen Large Cap Responsible Equity Fund.



## 8. REMAINING REQUIRED MINIMUM DISTRIBUTION OF THE IRA OWNER

If a deceased original IRA owner had reached their RMD Applicable Age\* and had any unpaid Required Minimum Distribution (RMD) amounts within such owner's account, such unpaid RMD amounts cannot be transferred to your inherited IRA.

- I want Nuveen to calculate the unpaid RMD amount. Distribute the amount of the remaining RMD to me in a single payment.
- I will provide my own calculated RMD amount (option is for individuals with multiple IRAs). Please distribute \$\_\_\_\_\_ to satisfy the remaining RMD.

The remaining Required Minimum Distribution of the IRA owner is a nonperiodic distribution that is non-rollover eligible.

### NONPERIODIC DISTRIBUTIONS THAT ARE NON-ROLLOVER ELIGIBLE (CHECK ONE)

The remaining Required Minimum Distribution of the IRA owner is a nonperiodic distribution that is non-rollover eligible.

- I hereby notify Nuveen that I decline to provide a substitute federal form W-4R Withholding Certificate for Nonperiodic Payments and Eligible Rollover Distributions. I understand that federal withholding will be withheld at the default rate of 10%.
- I am providing a substitute federal form W-4R Withholding Certificate for Nonperiodic Payments and Eligible Rollover Distributions. I am making a federal withholding election as indicated on my substitute federal form W-4R Withholding Certificate by entering a rate between 0% and 100% on line 2.
- I am providing a substitute federal form W-4R Withholding Certificate for Nonperiodic Payments and Eligible Rollover Distributions. I am choosing to have no federal income tax withheld by entering "-0-" on line 2.

Generally, you are not permitted to elect to have federal income tax withheld at a rate of less than 10% (including 0%) on payments to be delivered outside of the United States and its territories.

If you are a nonresident, non-U.S. citizen, you must certify your foreign tax status by providing IRS Form W-8BEN prior to requesting a distribution. A W-8BEN is maintained on file for a three-year period.

### STATE TAX WITHHOLDING

State income tax withholding may be required from your distribution. If state withholding is mandatory, we will withhold at the rate required by your state. Please note that the state may allow you to elect not to have withholding applied, to choose additional withholding, or to specify the rate of withholding. Please contact Nuveen Funds with any questions at **800-257-8787**.





## 9. REQUIRED MINIMUM DISTRIBUTIONS FOR THE BENEFICIARY

(See section 8 on page 6 for the definition of RMD Applicable Age to learn how this section applies to you.)

If: (i) you received your inherited IRA from a decedent who passed away on or before December 31, 2019, and you opted to receive distributions over your life, or (ii) you are a beneficiary type marked by an asterisk (\*) in Section 2 above and you received your inherited IRA from a decedent who passed away after December 31, 2019, RMDs from the inherited IRA are based on a measuring life as required by federal tax law.

RMD amounts paid to you are nonperiodic distributions that are non-rollover eligible. Please use the selections below to choose withholding on your RMD amounts.

### NONPERIODIC DISTRIBUTIONS THAT ARE NON-ROLLOVER ELIGIBLE (CHECK ONE)

- I hereby notify Nuveen that I decline to provide a substitute federal form W-4R Withholding Certificate for Nonperiodic Payments and Eligible Rollover Distributions. I understand that federal withholding will be withheld at the default rate of 10%.
- I am providing a substitute federal form W-4R Withholding Certificate for Nonperiodic Payments and Eligible Rollover Distributions. I am making a federal withholding election as indicated on my substitute federal form W-4R Withholding Certificate by entering a rate between 0% and 100% on line 2.
- I am providing a substitute federal form W-4R Withholding Certificate for Nonperiodic Payments and Eligible Rollover Distributions. I am choosing to have no federal income tax withheld by entering "-0-" on line 2.

Generally, you are not permitted to elect to have federal income tax withheld at a rate of less than 10% (including 0%) on payments to be delivered outside of the United States and its territories.

If you are a nonresident, non-U.S. citizen, you must certify your foreign tax status by providing IRS Form W-8BEN prior to requesting a distribution. A W-8BEN is maintained on file for a three-year period.

### STATE TAX WITHHOLDING

State income tax withholding may be required from your distribution. If state withholding is mandatory, we will withhold at the rate required by your state. Please note that the state may allow you to elect not to have withholding applied, to choose additional withholding, or to specify the rate of withholding. Please contact Nuveen Funds with any questions at **800-257-8787**.



### 10. SIGNATURE

I hereby authorize this transfer to the Nuveen Funds IRA as indicated on this form.

To request assistance with accessing a prospectus, privacy policy, or business continuity policy please contact us at 800-257-8787.

For your protection, Nuveen may require additional verification of your identity before accepting your transaction as in good order. You agree that your transaction will be valued as of the market close on the business day that all of the steps necessary to verify your identity and the transaction to be in good order have been completed. You also agree that in the event these steps are completed after the market close on a business day, then your transaction will be valued as of the market close on the next business day. The amount of money that you receive will depend on the share or unit price on the day on which your transaction is deemed to be in good order. Due to market fluctuations, the price of your shares or units you ultimately receive could be less than the share or unit price when you initiated this transaction. It is also possible that if we are unable to reach you to verify this transaction within 5 days, this transaction may be canceled.

If I am a nonresident, non-citizen of the United States, I acknowledge that the below tax certifications do not apply to me. I have provided Nuveen with an IRS Form W-8BEN within the last three years or will submit a W-8BEN prior to requesting a distribution from this account. I acknowledge that I must have a W-8BEN on file to request a distribution.

#### Substitute W-9 Request for Taxpayer Identification Number and Certification

Under penalties of perjury, I certify that: (1) The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and (3) I am a U.S. citizen or other U.S. person (as defined in the form W-9 instructions); and (4) the FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding (as detailed in the box above).

#### PLEASE SIGN HERE

Owner's or Authorized Signer's Signature (exactly as it appears in Section 1)

Today's Date (mm/dd/yyyy)

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**11. MEDALLION SIGNATURE GUARANTEE**

Your current custodian may require a medallion signature guarantee in order to process the transfer. Please check with your custodian before sending us this Asset Transfer Form.\*\*

\*\*A medallion signature guarantee is not the same as a notarized signature. You must obtain a medallion signature guarantee from a bank or trust company, savings bank, savings and loan association, or a member of a national stock exchange which participates in the medallion signature guarantee program. A notary public is a not an acceptable guarantor.

**12. UMB BANK, N.A. AUTHORIZATION (FOR OFFICE USE ONLY)**

(UMB Bank, n.a. will complete this section.) UMB Bank, n.a. hereby represents that it has established for the above-named individual a Nuveen Funds IRA that qualifies under Section 408 or Section 408A of the Internal Revenue Code, and will apply the proceeds of the above-described IRA Asset Transfer to such IRA upon receipt.

**Instructions to Custodian:** Send redemption proceeds by check to: Nuveen Funds P.O. Box 219140 Kansas City, MO 64121-9140

UMB Bank, n.a. Authorized Signature

Today's Date (mm/dd/yyyy)

Reference Number

 /  / 20

**RETURN COMPLETED FORM(S) TO:**

Please return ALL numbered pages, including any pages you did not need to complete.

**STANDARD MAIL:**

Nuveen Funds  
P.O. Box 219140  
Kansas City, MO 64121-9140

**OVERNIGHT:**

Nuveen Funds  
801 Pennsylvania Ave  
Suite 219140  
Kansas City, MO 64105-1307

