

You should use this IRA 60-day Rollover Request form to roll over qualified retirement funds received from an alternative financial services provider to Nuveen Funds. Be sure to complete the IRA New Account Form/Adoption Agreement if you are opening a new IRA with Nuveen Funds. Please complete a separate IRA 60-day Rollover Request for each different type of account being rolled over.

By signing this form, investor(s) acknowledges that neither Nuveen Funds nor any affiliate or service provider to Nuveen Funds has provided the investor(s) with advice, recommendations or suggestions as to any specific investment decisions. Investors in Nuveen Funds are urged to consult their own advisors before making investment-related decisions, including but not limited to, those related to transfer or rollover from retirement plans, purchase or sale of investments, selection or retention of investment managers, or selection of account beneficiaries.

Please send your signed and completed form to Nuveen Funds per the Return Completed Forms section below or in the enclosed customer reply envelope. Please contact Nuveen Funds with any questions at 800-257-8787.

## 1. ACCOUNT REGISTRATION (REQUIRED)

Please print or type.

### Owner's Name

Prefix	First Name	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Social Security Number/ Taxpayer Identification Number	Date of Birth (mm/dd/yyyy)
<input type="text"/>	<input type="text"/>

Nonresident Aliens: Enter your Social Security Number/Individual Taxpayer Identification Number.

Address Street or P.O. Box (APO and FPO addresses will be accepted)	City	State	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Address (If the above address is a P.O. Box, you must also provide a street address)	City	State	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Primary Phone Number	Phone Number Type	Secondary Phone Number	Phone Number Type
<input type="text"/>	<input type="checkbox"/> Mobile <input type="checkbox"/> Home <input type="checkbox"/> Business	<input type="text"/>	<input type="checkbox"/> Mobile <input type="checkbox"/> Home <input type="checkbox"/> Business

Alternate Phone Number	Phone Number Type	Email Address
<input type="text"/>	<input type="checkbox"/> Mobile <input type="checkbox"/> Home <input type="checkbox"/> Business	<input type="text"/>



**2. HOW WOULD YOU LIKE TO APPLY YOUR ROLLOVER?**

I am rolling over funds to a new Nuveen Funds IRA\*:

Traditional IRA

Roth IRA

**OR**

I am rolling over funds to an existing Nuveen Funds IRA\*:

Traditional IRA Account Number

Roth Account Number

\*Please be advised that you can make only one rollover from an IRA to another (or the same) IRA in any 12-month period, regardless of the number of IRAs you own. Exceptions are trustee-to-trustee transfers and Roth IRA conversions.

If you have questions or need help locating your account numbers, please contact Nuveen Funds at **800-257-8787**.

**3. CURRENT CUSTODIAN**

Please confirm the address below with your current custodian. Please attach a copy of your current statement to help expedite this request.

Name of Current Custodian

Account Representative (If applicable)

Contact Telephone Number

Account Number

Address

Address

City

State

Zip Code

Rollover Amount

\$

Check Distribution Date (mm/dd/yyyy)

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**SOURCE OF MONEY BEING TRANSFERRED**

Please check the box that corresponds to the source of funds being rolled over.

401(a)

401(k)

403(b)

403(b)(7)

414(h)

457(b) Public

Keogh

Roth IRA

SIMPLE IRA

Traditional IRA

Defined Benefit Plan

SEP IRA

Roth 401(k)

Roth 403(b)

Roth 457(b) Public



### 4. INVESTMENT ALLOCATION

Please indicate the funds in which you are investing. Note: If you are opening a new fund with the allocations given below, please check the box for acknowledgment in the Signature section. \*\* If you are investing in an existing Nuveen Funds IRA, please fill in your IRA Fund Account number below. If you would like to invest in multiple existing IRAs, please attach a separate sheet with the additional information. The minimum investment per new fund is \$2,000 and \$100 for existing funds.

Account Number



Please check here to set this allocation for future investments unless other instructions are received.

#### A CLASS

FUND NAME (FUND CODE)	AMOUNT	PERCENT	FUND NAME (FUND CODE)	AMOUNT	PERCENT
Nuveen 5-15 Year Laddered Tax Exempt Bond Fund (98)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Equity Index Fund (65)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen All-American Municipal Bond Fund (1167)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Equity Long/Short Fund (5044)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Arizona Municipal Bond Fund (5002)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Flexible Income Fund (5052)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Bond Index Fund (91)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Floating Rate Income Fund (5064)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen California High Yield Municipal Bond Fund (1780)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Georgia Municipal Bond Fund (5006)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen California Municipal Bond Fund (5003)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Global Dividend Growth Fund (3037)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Colorado Municipal Bond Fund (5004)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Global Equity Income Fund (1759)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Connecticut Municipal Bond Fund (5005)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Global Infrastructure Fund (6725)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Core Bond Fund (66)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Global Real Estate Securities Fund (7270)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Core Equity Fund (64)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Green Bond Fund (2608)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Core Impact Bond Fund (45)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen High Yield Fund (95)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Core Plus Bond Fund (96)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen High Yield Income Fund (5055)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Credit Income Fund (6942)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen High Yield Municipal Bond Fund (5000)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Dividend Growth Fund (5031)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Inflation Linked Bond Fund (90)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Dividend Value Fund (6759)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Intermediate Duration Municipal Bond Fund (1170)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Emerging Markets Debt Fund (2794)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen International Bond Fund (2957)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Emerging Markets Equity Fund (67)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen International Dividend Growth Fund (5068)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Emerging Markets Equity Index Fund (69)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen International Equity Fund (61)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %

\* Effective 12/13/24 the Nuveen Social Choice Low Carbon Equity Fund merged into Nuveen Large Cap Responsible Equity Fund.

\*\* Effective 2/28/25 the following name change was made: Nuveen Mid Cap Growth Opportunities Fund was renamed Nuveen Small/Mid Cap Growth Opportunities Fund.

† Effective 3/1/25 Nuveen Mid Cap Growth Fund was renamed to Nuveen Quant Mid Cap Growth Fund.



#### 4. INVESTMENT ALLOCATION (CONTINUED)

##### A CLASS

FUND NAME (FUND CODE)	AMOUNT	PERCENT	FUND NAME (FUND CODE)	AMOUNT	PERCENT
Nuveen International Opportunities Fund (49)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Mid Cap Value Fund (87)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen International Responsible Equity Fund (2762)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Minnesota Intermediate Municipal Bond Fund (6804)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen International Value Fund (1159)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Minnesota Municipal Bond Fund (6484)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Kansas Municipal Bond Fund (5007)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Missouri Municipal Bond Fund (1186)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Kentucky Municipal Bond Fund (5008)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Money Market Fund (63)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Large Cap Growth Fund (68)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Multi Cap Value Fund (1157)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Large Cap Responsible Equity Fund (62)*	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Nebraska Municipal Bond Fund (5022)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Large Cap Select Fund (2605)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen New Jersey Municipal Bond Fund (5013)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Large Cap Value Fund (85)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen New Mexico Municipal Bond Fund (5014)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Large Cap Value Opportunities Fund (5034)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen New York Municipal Bond Fund (5015)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Lifecycle Retirement Income Fund (70)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen North Carolina Municipal Bond Fund (5016)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Lifestyle Aggressive Growth Fund (94)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Ohio Municipal Bond Fund (5017)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Lifestyle Conservative Fund (78)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Oregon Intermediate Municipal Bond Fund (6779)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Lifestyle Growth Fund (93)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Pennsylvania Municipal Bond Fund (5018)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Lifestyle Income Fund (77)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Preferred Securities and Income Fund (5040)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Lifestyle Moderate Fund (79)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Quant International Small Cap Equity Fund (2966)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Limited Term Municipal Bond Fund (5001)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Quant Mid Cap Growth Fund (86)†	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Louisiana Municipal Bond Fund (5009)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Quant Small Cap Equity Fund (88)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Managed Allocation Fund (99)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Quant Small/Mid Cap Equity Fund (2956)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Maryland Municipal Bond Fund (5010)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Real Asset Income Fund (6732)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Massachusetts Municipal Bond Fund (5011)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Real Estate Securities Fund (817)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Michigan Municipal Bond Fund (5012)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Real Estate Securities Select Fund (89)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Mid Cap Value 1 Fund (6786)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Short Duration High Yield Municipal Bond Fund (5026)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %

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\*\* Effective 2/28/25 the following name change was made: Nuveen Mid Cap Growth Opportunities Fund was renamed Nuveen Small/Mid Cap Growth Opportunities Fund.

† Effective 3/1/25 Nuveen Mid Cap Growth Fund was renamed to Nuveen Quant Mid Cap Growth Fund.

(Continued)



**4. INVESTMENT ALLOCATION (CONTINUED)**

**A CLASS**

FUND NAME (FUND CODE)	AMOUNT	PERCENT	FUND NAME (FUND CODE)	AMOUNT	PERCENT
Nuveen Short Duration Impact Bond Fund (2609)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Small/Mid Cap Growth Opportunities Fund (6449)**	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Short Term Bond Fund (97)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Small/Mid Cap Value Fund (5037)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Short Term Bond Index Fund (2797)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Strategic Income Fund (1345)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Short Term Municipal Bond Fund (5024)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Strategic Municipal Opportunities Fund (6992)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Small Cap Growth Opportunities Fund (2454)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Virginia Municipal Bond Fund (5019)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Small Cap Select Fund (5061)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Winslow Large-Cap Growth ESG Fund (5049)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Small Cap Value Fund (6740)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Nuveen Wisconsin Municipal Bond Fund (5020)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Nuveen Small Cap Value Opportunities Fund (5028)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	<b>Total Amount or Percentage</b>	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %

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† Effective 3/1/25 Nuveen Mid Cap Growth Fund was renamed to Nuveen Quant Mid Cap Growth Fund.



## 5. SELF-CERTIFICATION FOR LATE ROLLOVER CONTRIBUTION

Only complete Section 5 if you are completing this rollover 60 days after receiving the distribution. Retain a copy of this for your records.

Check Date (mm/dd/yyyy)

 /  / 

Contract Number

Pursuant to IRS Revenue Procedure 2016-47, I certify that my contribution of:

\$  missed the 60-day rollover deadline for the reason(s) listed below under "Reasons for Late Contribution."

I am making this contribution as soon as practicable after the reason or reasons listed below no longer prevent me from making the contribution. I understand that this certification concerns only the 60-day requirement for a rollover and that, to complete the rollover, I must comply with all other tax law requirements for a valid rollover and with Nuveen Funds rollover procedures.

Pursuant to Revenue Procedure 2016-47, unless I have actual knowledge to the contrary, Nuveen Funds may rely on this certification to show that I have satisfied the conditions for a waiver of the 60-day rollover requirement for the amount identified above. Nuveen Funds may not rely on this certification in determining whether the contribution satisfies other requirements for a valid rollover.

### REASONS FOR LATE CONTRIBUTION

I intended to make the rollover within 60 days after receiving the distribution but was unable to do so for the following reason(s) (check all that apply):

- An error was committed by the financial institution making the distribution or receiving the contribution.
- The distribution was in the form of a check, and the check was misplaced and never cashed.
- The distribution was deposited into and remained in an account that I mistakenly thought was a retirement plan or IRA.
- My principal residence was severely damaged.
- One of my family members died.
- I or one of my family members was seriously ill.
- I was incarcerated.
- Restrictions were imposed by a foreign country.
- A postal error occurred.
- The distribution was made on account of an IRS levy, and the proceeds of the levy have been returned to me.
- The party making the distribution delayed providing information that the receiving plan or IRA required to complete the rollover despite my reasonable efforts to obtain the information.

### GENERAL INFORMATION

If a rollover-eligible payment is distributed from an employer plan and is payable to the individual participant, the distributing institution must withhold 20% to prepay federal taxes. This will apply even if your intention is to roll over the distribution within the 60-day limit, since the 20% withholding is considered part of the distribution. If the amount rolled over is less than the full amount (partial rollover) of the distribution (amount of check and tax withholding), it will be considered taxable income. If you do not want a taxable event, then you must make up all of the tax withholding out of pocket to claim the full amount as a rollover contribution.

**Please note:** If you are requesting the 60-day rollover from an IRA, the 20% tax withholding is not mandatory.

### TAX REPORTING:

60-day rollovers are tax reportable. You will receive a 1099-R from the distributing trustee which reports the gross amount of the distribution and any withholding. Nuveen Funds will send a 5498 to you for rollover contributions made to Traditional IRAs and Roth IRAs. Rollover contributions made into a qualified employer plan will not result in a 5498 being mailed as you will need to use confirmation statements of the transaction for reporting purposes.



**6. SIGNATURE**

I hereby authorize Nuveen Funds to complete my 60-day Rollover Request as indicated on this form.

To request assistance with accessing a prospectus, privacy policy, or business continuity policy please contact Nuveen Funds at **800-257-8787**.

Under penalties of perjury, I certify that: (1) The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and (3) I am a U.S. citizen or other U.S. person; and (4) the FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

I have determined that an IRA rollover is the right option for me. I understand that Nuveen Funds does not provide tax or legal advice. Additionally, Nuveen Funds does not provide fiduciary investment advice with respect to the advisability of rolling over funds to an IRA.

If I have completed Section 5 above, I declare that the representations made in this document are true and that the IRS has not previously denied a request for a waiver of the 60-day rollover requirement with respect to a rollover of all or part of the distribution to which this contribution relates. I understand that in the event I am audited and the IRS does not grant a waiver for this contribution, I may be subject to income and excise taxes, interest and penalties. If the contribution is made to an IRA, I understand I will be required to report the contribution to the IRS. I also understand that I should retain a copy of this signed certification with my tax records.

**PLEASE SIGN HERE**

Owner's Signature (Exactly as it appears in Section 1)

Today's Date (mm/dd/yyyy)

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**RETURN COMPLETED FORM(S) TO:**

Please return ALL numbered pages, including any pages you did not need to complete.

**STANDARD MAIL:**

Nuveen Funds  
P.O. Box 219140  
Kansas City, MO 64121-9140

**OVERNIGHT:**

Nuveen Funds  
801 Pennsylvania Ave  
Suite 219140  
Kansas City, MO 64105-1307

